

10-10-00

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UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
00-039

Total Pages in this Submission

TO THE ASSISTANT COMMISSIONER FOR PATENTSBox Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

SYSTEMS AND METHODS WHEREIN A SECURITY DEPOSIT FACILITATES A TRANSACTION IN WHICH A BENEFIT IS APPLIED IN EXCHANGE FOR PERFORMANCE OF A TASK

and invented by:

Jay S. WALKER, Daniel E. TEDESCO, Michiko KOBAYASHI, Andrew P. GOLDEN, Scott B. ALLISON, Geoffrey M. GELMAN, Timothy A. PALMER, James A. JORASCH, Elizabeth DELAMATER.

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Enclosed are:

Application Elements

1. ☐ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 39 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☒ Cross References to Related Applications (if applicable)
 - c. ☒ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Microfiche Appendix (if applicable)
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if drawings filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

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Application Elements (Continued)

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☒ Formal Number of Sheets 21
- b. ☐ Informal Number of Sheets _____
4. ☒ Oath or Declaration
- a. ☒ Newly executed *(original or copy)* ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.
6. ☐ Computer Program in Microfiche *(Appendix)*
7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☒ Assignment Papers *(cover sheet & document(s))*
9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☒ Information Disclosure Statement/PTO-1449 ☒ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail *(Specify Label No.):* EL510722369US

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(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
00-039

Total Pages in this Submission

Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

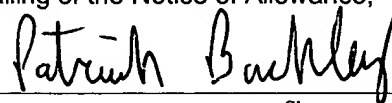
16. ☐ Additional Enclosures (please identify below):

Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	37	- 20 =	17	x \$18.00	\$306.00
Indep. Claims	4	- 3 =	1	x \$80.00	\$80.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$710.00
OTHER FEE (specify purpose) Assignment Recordal Fee					\$40.00
TOTAL FILING FEE					\$1,136.00

- ☐ A check in the amount of _____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$1,134.00 as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).


Signature

Patrick J. Buckley
Attorney for Applicant
Reg. No. 40,928
Walker Digital Corporation
Five High Ridge Park
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(203) 461-7010 /phone
(203) 595-8266 /fax

Dated: October 6, 2000

cc:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Jay S. WALKER et al.

Docket No.

00-039

Serial No.

Not Yet Assigned

Filing Date

October 6, 2000

Examiner

Not Yet Assigned

Group Art Unit

Not Yet Assigned

Invention: **SYSTEMS AND METHODS WHEREIN A SECURITY DEPOSIT FACILITATES A TRANSACTION IN WHICH A BENEFIT IS APPLIED IN EXCHANGE FOR PERFORMANCE OF A TASK**

I hereby certify that this **NEW PATENT APPLICATION***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on **October 6, 2000**

*(Date)***Sylvia Gonzalez***(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)***EL510722236US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 00-039

In re Application of: Jay S. WALKER et al.) Customer No.: 22927
 For: SYSTEMS AND METHODS) Examiner Not Yet Assigned
 WHEREIN A SECURITY DEPOSIT)
 FACILITATES A TRANSACTION IN)
 WHICH A BENEFIT IS APPLIED IN)
 EXCHANGE FOR PERFORMANCE)
 OF A TASK)
 Serial No.: Not Yet Assigned) Group Art Unit: Not Yet Assigned
 Filing Date: October 6, 2000) Docket No.: 00-039

jc853 U.S. PTO
 09/685079
 10/06/00

BOX PATENT APPLICATIONS

Assistant Commissioner for Patents
 Washington, D.C. 20231

TRANSMITTAL LETTER

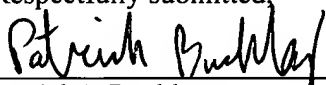
Sir:

Applicants hereby submit the following documents for the above-identified patent application:

1. Certificate of Mailing By Express Mailing (37 CFR 1.10) No. EL510722369US;
2. Utility Patent Application Transmittal Letter (Large Entity);
3. Patent Application (39 pgs.);
4. Formal Drawings (Figs. 1-12, 21 pgs.)
5. Executed Combined Declaration and Power of Attorney;
6. Recordation Cover Sheet;
7. Executed & Notarized Assignment of Invention, Rights and Interest in Invention;
8. Information Disclosure Statement;
9. PTO Form 1449 w/ 38 references cited therein; and
10. Acknowledgment Postcard.

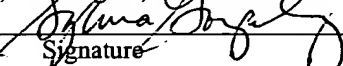
The Commissioner is hereby authorized to charge \$1,136.00 to Deposit Account No. 50-0271 Order No. 00-039 for the fees required. The Commissioner is further authorized to charge any further required fees, or to credit any overpayment, to Deposit Account No. 50-0271 Order No. 00-039. A duplicate copy of this authorization is attached for such purpose.

October 6, 2000
 Date

Respectfully submitted,

 Patrick J. Buckley
 Attorney for Applicants
 PTO Reg. No. 40,928
 Walker Digital Corporation
 Five High Ridge Park
 Stamford, CT 06905

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service via Express Mail in an Envelope No. EL510722369US with sufficient postage addressed to Box Patent Applications, Assistant Commissioner for Patents, Washington, D.C. 20231 on October 6, 2000.

Sylvia Gonzalez
 Typed Name of Person Making Deposit

 Signature
 10/06/00
 Date

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